

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: BOX PCT, Assistant Commissioner for Patents, Washington, D.C. 20231.

EXPRESS MAIL LABEL NO. EL 923 484 006

09/462962

Name (Print/Type)	Margaret D. Pierce	Signature	<i>M. Pierce</i>	Date	06-25-2001	
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>			PCT Number: PCT/GB98/02115 (formerly USSN: 09/462,962)			
			Intl. Filing Date	July 16, 1998		
			First Named Inventor	Jackson et al.		
			Examiner	Unassigned		
			Group Art	Unassigned		
			Attorney Docket Number	MEWE010		
PCT/GB98/02115			International Filing Date	07-16-1998	Priority Date Claimed	07-16-1997
Title of Invention	"INTERACTION OF ATM, ATR OR DAN-PK WITH P53"					
Applicant(s) for DO/EO/US	JACKSON et al.					

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
  2. ☐ This is a SECOND or SUSEQUENT submission of items concerning a filing under 35 U.S.C. 371
  3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9), (21) indicated below.
  4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
  5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
    - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
    - b. ☒ has been communicated by the International Bureau.
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
  6. ☐ An English language translation of the International Application as filed (35 U.S.C. 37(c)(2)).
    - a. ☐ is attached hereto
    - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
  7. ☒ Amendments to the claims of the International Application under pCT Article 19 (35 U.S.C. 37(c)(3))
    - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
    - b. ☐ have been communicated by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☒ have not been made and will not be made.
  8. ☐ An English language translation of the amendments to the claims under pCT Article 19 (35 U.S.C. 371(c)(3)).
  9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (copy as filed on 04/10/00)
  10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11 to 20 below concern document(s) or information included:
- |  |  |
|--|--|
| 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98  | 07/06/2001 SHAJARRO 00000035 500815 09462962 |
| 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | 01 FC:970 860.00 CH                          |
|  | 03 FC:964 560.00 CH                          |
13. ☒ A FIRST preliminary amendment.
  14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
  15. ☐ A substitute specification.
  16. ☐ A change of power of attorney and/or address letter.

17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 154(d)(4).

18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).

19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

20. ☒ Other items or information: Petition under 37 CFR 1.137 (a) or (b), Copy of the Transmittal Letter to the US Designating Office as filed on 01/14/00, Copy of the unexecuted Declaration as filed on 01/14/00, Copy of the Executed Declaration as filed on 04/10/00, Copy of the Notification of Acceptance dated 04/26/00, Copy of the Corrected Filing Receipt dated 02/21/01, Return Receipt Postcard

21. ☒ Fees

**BASIC NATIONAL FEE (37 CFR 1.492(a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....

\$1,000.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO....

\$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....

\$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4).....

\$690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4).....

\$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT**

=

**CALCULATIONS PTO USE ONLY**

\$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

**TOTAL**

18.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total Claims	21	1	\$18.00
Independent Claims	10	7	\$80.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (if applicable)			\$270.00

\$

560.00

**TOTAL OF ABOVE CALCULATIONS**

\$

578.00

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

**SUBTOTAL**

\$

1,438.00

Processing fee of \$130.00 for furnishing the English Translation later than ☐ 20 ☐ 30 earliest claimed priority date (37 CFR 1.492(f)).

**TOTAL NATIONAL FEE =**

\$

1,438.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.

**TOTAL FEES**

\$

1,438.00

Amount to be refunded \$

charged: \$1,438.00

☒ The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:  
BOZICEVIC, FIELD & FRANCIS, LLP  
200 Middlefield Road, Suite 200  
Menlo Park, California 94025

Telephone - Direct Dial  
Facsimile

650-327-3231

*Pamela J. Sherwood*  
SIGNATURE

Pamela J. Sherwood  
NAME

36,677  
REGISTRATION NUMBER



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/462,962	06/25/2001	1742	3102	EL419727895US	1	19	1

CONFIRMATION NO. 5713

## CORRECTED FILING RECEIPT



\*OC000000005785299\*

PAMELA SHERWOOD  
BOZICEVIC FIELD & FRANCIS  
285 HAMILTON AVENUE SUITE 200  
PALO ALTO, CA 94301

Date Mailed: 12/06/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

STEPHEN PHILIP JACKSON, CAMBRIDGE, UNITED KINGDOM;  
NICHOLAS DAVID LAKIN, CAMBRIDGE, UNITED KINGDOM;  
GRAEME CAMERON MURRAY SMITH, CAMBRIDGE, UNITED KINGDOM;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A 371 OF PCT/GB98/02115 07/16/1998

## Foreign Applications

UNITED KINGDOM 9714971.0 07/16/1997

If Required, Foreign Filing License Granted 05/16/2000

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

## Title

METHOD FOR THE PRODUCTION OF GALVANIZING FLUXES AND FLUX FEEDSTOCKS

## Preliminary Class

COPY OF PAPERS  
ORIGINALLY FILED

RECEIVED  
JUN 11 2002  
TC 1700  
RECEIVED  
MAR 13 2002  
IC 1700

148

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**Data entry by :**

**Team :**

**Date:**



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, Unit d States Cod , Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- o The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- o The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- o The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- o The docket number allows a maximum of 25 characters.
- o If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- o The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

1742



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/462,962		STEPHEN PHILIP JACKSON	EL419727895US

CONFIRMATION NO. 5713

WITHDRAWAL OF ABANDONMENT  
NOTICE

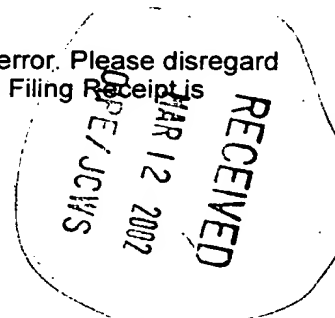
\*OC000000007162621\*

PAMELA SHERWOOD  
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285 HAMILTON AVENUE SUITE 200  
PALO ALTO, CA 94301

Date Mailed: 12/06/2001

## WITHDRAWAL OF PREVIOUSLY SENT NOTICE

It has come to the attention of the Office that the Notice mailed on 08/15/2001 was sent in error. Please disregard that Notice. The application is complete and will be processed for examination. The Official Filing Receipt is enclosed. We apologize for any inconvenience this has caused.



COPY OF PAPERS  
ORIGINALLY FILED

RECEIVED  
JUN 11 2002  
TC 1700

RECEIVED  
MAR 13 2002  
TC 1700

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 1 - ATTORNEY/APPLICANT COPY



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO. 09/462,962	FIRST NAMED APPLICANT STEPHEN PHILIP JACKSON	ATTY. DOCKET NO. EL419727895US
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INTERNATIONAL APPLICATION NO.

PCT/GB98/02115

I.A. FILING DATE

PRIORITY DATE

07/16/1998

07/16/1997

PAMELA SHERWOOD  
BOZICEVIC FIELD & FRANCIS  
285 HAMILTON AVENUE SUITE 200  
PALO ALTO, CA 94301

CONFIRMATION NO. 5713

371 ACCEPTANCE LETTER



\*OC000000007162623\*

Date Mailed: 12/06/2001

### NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.494 OR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

06/25/2001

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and  
(c)(4) REQUIREMENTS

06/25/2001

DATE OF RECEIPT OF ALL 35 U.S.C.  
REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** *The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363).* Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- o U.S. Basic National Fee
- o Claims
- o Copy of IPE Report
- o Copy of references cited in ISR
- o Copy of the International Application
- o Copy of the International Search Report
- o Drawings
- o Initial Application Filing Fees
- o Oath or Declaration
- o Oath or Declaration

- Original Specification
- Preliminary Amendments
- Request for Immediate Examination
- Small Entity Statement

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Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

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DEBORAH D WILLIAMS

Telephone: (703) 305-3744

PART 1 - ATTORNEY/APPLICANT COPY